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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	TEL-018	Total Pages	34
First Inventor or Application Identifier	Donald Jackson		
Title	METHOD AND APPARATUS FOR LOCALIZED VOICE OVER...		
Express Mail Label No.	EL597200125US		

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

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- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- ☒ Applicant Claims small entity status.  
See 37 C.F.R. § 1.27.
- ☒ Specification [Total Pages 25]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed-Sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 4]
- ☐ Oath or Declaration [Total Pages \_\_\_]
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 C.F.R. § 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies), or
    - ☐ Paper
  - ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS) PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Other: \_\_\_\_\_

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. § 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /\_

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

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# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.*

**TOTAL AMOUNT OF PAYMENT**

(\$ 355.00)

*Complete if Known*

Application Number

Filing Date

10/13/2000

First Named Inventor

Donald Jackson

Examiner Name

Group/Art Unit

Attorney Docket Number

TEL-018

J0921 U.S. PTO  
09/06/00



## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

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Bever, Hoffman & Harms, LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17  
☒ Applicant claims small entity status.  
See 37 C.F.R. § 1.27

2. ☒ **Payment Enclosed:**

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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$ 355.00)</b>

### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	15	-20** = 0 x 9.00 =	0.00
Independent Claims	3	-3** = 0 x 40.00 =	0.00
Multiple Dependent			

*\*\*or number previously paid, if greater; For Reissues, see below*

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$ 0.00)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for replay within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (Specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$)

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Date

10-13-00

UNITED STATES PATENT APPLICATION

OF

DONALD JACKSON  
ET. AL.

FOR

METHOD AND APPARATUS FOR  
LOCALIZED VOICE OVER INTERNET PROTOCOL USAGE

PREPARED BY TELLME NETWORKS, INC.

## RELATED CASES

This application relates to, incorporates by reference, and claims priority from, United States Provisional Application 60/219,911, entitled, "Method and Apparatus for Efficient Voice Activated Services Accessible over Telephone Interface," filed 21 July 2000, having  
5 inventors Mark Verber, et. al.

## BACKGROUND OF THE INVENTION

### Field of the Invention

This invention relates to the field of telephony. In particular, the invention relates to technologies for using voice over Internet Protocol (VoIP) solutions in a number of  
10 configurations to increase flexibility and reliability of call handling systems.

### Description of the Related Art

Figure 1 shows an example of the use an efficiently arranged prior art system for supporting voice activated services over a telephone interface at element 130. Figure 1 superimposes that configuration on a high level view of such a platform as illustrated by  
15 telephone 100 coupled to the telephone network 104, which is in turn coupled to a telephone gateway 107, and a phone application platform 110. In one embodiment, the phone application platform 110 can correspond to a voice portal that provides voice activated access to a variety of information including personalized content. Such a platform is described in greater detail in United States Patent Application 09/426,102 entitled "Method and Apparatus  
20 for Content Personalization over Telephone Interface."

As Figure 1 shows, functionally the interface with the telephone network 104 (e.g. the public switched telephone network or PSTN) is conceptually separate from the phone

application platform 110, in order to achieve efficient configurations with traditional telephony equipment, the hardware to support those functions may not be as cleanly separated as shown in element 130: where there is a physical termination of one or more PSTN circuit switched calls, e.g. DS3 line in 112. A single DS3 includes 28 primary rate interfaces (PRIs), each including 24 dedicated voice channels for a total of 672 dedicated voice channels. In order to handle this number of calls, the PRIs are multiplexed out using multiplexer 114 to a collection of servers with telephony cards 116A-Z for handling the PRI and the voice communications channels therein. In one configuration, a set of Dialogic signal cards model numbers D/480SC-2TI and Antares/2000x50 from Dialogic Corporation, Parsippany, New Jersey, are use to handle the PRIs.

Some inefficiencies result from the preceding configuration, for example, in order to readily support “tromboning” (connections between an incoming caller and one or more parties on an outbound call) the two calls need to be handled by the same server 116. Similarly, features like conference calls have similar dependencies. Accordingly, the telephone network 104 must be programmed to distribute the voice calls across the PRIs within the DS3 to leave sufficient capacity for outbound calling purposes. Further, physical proximity between the telephone gateway 107 and the phone application platform 110 is effectively enforced by the need for the servers supporting the phone application platform 110 to be in sufficient proximity to allow termination of circuit switched calls on those servers.

Figure 2 illustrates prior art uses of Voice over Internet Protocol (VoIP) techniques to provide telephony services. Prior to VoIP type technologies, a telephone call from the telephone 200A to the telephone 200B would be carried by a series of circuit switched connections from the local telephone network 204A to the long distance telephone network

210 and on to the local telephone network 204B before reaching the telephone 200B. Some new entrants into the long distance market have begun offering lower cost transmission through the Internet 208, and more generally packet switched networks, using suites of protocols such as voice over Internet Protocol (VoIP) and gateways such as the VoIP gateways 206A-B. Frequently, such new entrants are thought of as providing lower quality service than the circuit switched network (this is frequently the case due to the use of heavy compression as well as transmission in a best effort network). Similarly, using VoIP some new entrants encourage people to use their computers to place voice (as well as video) calls from computer to computer, e.g. computer 212A to computer 212B. Some services even allow connections from computer, e.g. computer 212A, to a telephone, e.g. telephone 200A, again in the hopes of providing cut rate services since the calling party may be able to avoid many taxes and surcharges typically imposed on long distance calling

The prior approaches to providing voice activated services have been focused on the circuit switched orientation of the telephone network. Prior packet switched approaches for handling voice communications have been characterized by an end-to-end philosophy of call placement. Accordingly, what is needed is a better configuration for handling receipt and transmission of audio from and to the telephone network 104 that provides increased flexibility while maintaining compatibility with the existing telephone network 104 by leveraging VoIP standards to provide new services and functions.

## SUMMARY OF THE INVENTION

An approach to abstracting the circuit switched nature of the public switched telephone network (PSTN) by using VoIP to provide voice actuated services is disclosed. By carrying a telephone call using VoIP technology for a short distance (frequently within a

server room) significant benefits to call handling and capacity management can be obtained. Specifically, a PSTN-to-IP gateway is used to receive (and place) calls over the PSTN and route those calls internally to servers over an IP network in a packet switched format. A number of computer systems can receive and handle the calls in the IP format, including:

5 translating the packets into an audio format suitable for speech recognition and creating suitable packets from computer sound files for transmission back over the PSTN.

In some embodiments, a proxy server is used to balance call load amongst a pool of server computers handling the phone calls as they are passed off from the gateway in IP form. This may also be used to reduce the need to reserve capacity on specific server computers based on circuit capacity. For example, in the prior art configuration each telephony server readily supported only a fixed number of circuits due to the physical connectivity properties. Thus if a single PRI (23 usable phone lines in North America) were connected to a server, then to easily support outgoing calls (tromboning), it is necessary to reserve capacity on that PRI. In contrast, with a packet switched abstraction, the server does not have to be concerned

10 with which PRI, DS3, etc., is handling the incoming and outgoing legs of the call session since the capacity limit is solely based on total packet network bandwidth and processor capability on the server (both of which are more flexible than circuit capacity). Similarly, advanced calling features such as conference calling that would have previously required reservation of a large number of ports on a single telephony card and be handled more

15 elegantly.

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It should be noted that this approach is not necessarily cost reducing, e.g. the cost of the telephony gateway 107 and phone application platform 110 will not necessarily be reduced. Rather, and perhaps counter-intuitively, costs may go up since the PSTN-to-IP

gateway can be rather expensive, especially if purchased in redundant pairs. Further, expensive network switches and routers to support several thousand uncompressed packet format data streams will be necessary as well. In contrast, most VoIP installations make use of (heavy) compression and expect only best effort delivery of packets. The need to perform

5 high quality speech recognition makes such compression (as well as an unreliable network) undesirable.

Additionally, this situation is counter-intuitive to the general trend in VoIP telephony of establishing many points of presence (POPs) throughout the nation to avoid long distance charges. Rather, this approach leverages the PSTN for what it is good at: long haul

10 transmission of voice data at a fixed quality of service and then makes use of VoIP to abstract those details. Telephone carriers who feel comfortable delivering calls directly in VoIP formats may be permitted to terminate their calls as such as well; however, that is not necessary.



[illegible]

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## DETAILED DESCRIPTION

### A. Introduction

The invention will be described in greater detail as follows. First, a number of definitions useful to understanding the invention are presented. Then, the hardware and software architecture for localized voice over Internet Protocol (VoIP) usage will be considered. Finally, the processes and features of the environment are presented in greater detail.

### B. Definitions

#### 1. Telephone Identifying Information

For the purposes of this application, the term telephone identifying information will be used to refer to ANI information, CID information, and/or some other technique for automatically identifying the source of a call and/or other call setup information. For example, telephone identifying information may include a dialed number identification service (DNIS). Similarly, CID information may include text data including the subscriber's name and/or address, e.g. "Jane Doe". Other examples of telephone identifying information might include the type of calling phone, e.g. cellular, pay phone, and/or hospital phone.

Additionally, the telephone identifying information may include wireless carrier specific identifying information, e.g. location of wireless phone now, etc. Also, signaling system seven (SS7) information may be included in the telephone identifying information.

## 2. User Profile

A user profile is a collection of information about a particular user. The user profile typically includes collections of different information of relevance to the user, e.g., account number, name, contact information, user-id, default preferences, and the like. Notably, the user profile contains a combination of explicitly made selections and implicitly made selections.

Explicitly made selections in the user profile stem from requests by the user to the system. For example, the user might add business news to the main topic list. Typically, explicit selections come in the form of a voice, or touch-tone command, to save a particular location, e.g. "Remember this", "Bookmark it", "shortcut this", pound (#) key touch-tone, etc., or through adjustments to the user profile made through the web interface using a computer.

Additionally, the user profile provides a useful mechanism for associating telephone identifying information with a single user, or entity. For example, Jane Doe may have a home phone, a work phone, a cell phone, and/or some other telephones. Suitable telephone identifying information for each of those phones can be associated in a single profile for Jane. This allows the system to provide uniformity of customization to a single user, irrespective of where they are calling from.

In contrast, implicit selections come about through the conduct and behavior of the user. For example, if the user repeatedly asks for the weather in Palo Alto, California, the system may automatically provide the Palo Alto weather report without further prompting. In other embodiments, the user may be prompted to confirm the system's implicit choice, e.g. the

system might prompt the user “Would you like me to include Palo Alto in the standard weather report from now on?”

Additionally, the system may allow the user to customize the system to meet her/his needs better. For example, the user may be allowed to control the verbosity of prompts, the dialect used, and/or other settings for the system. These customizations can be made either explicitly or implicitly. For example if the user is providing commands before most prompts are finished, the system could recognize that a less verbose set of prompts is needed and implicitly set the user's prompting preference to briefer prompts.

### 3. Topics and Content

A topic is any collection of similar content. Topics may be arranged hierarchically as well. For example, a topic might be business news, while subtopics might include stock quotes, market report, and analyst reports. Within a topic different types of content are available. For example, in the stock quotes subtopic, the content might include stock quotes. The distinction between topics and the content within the topics is primarily one of degree in that each topic, or subtopic, will usually contain several pieces of content.

### 4. Demographic and Psychographic Profiles

Both demographic profiles and psychographic profiles contain information relating to a user. Demographic profiles typically include factual information, e.g. age, gender, marital status, income, etc. Psychographic profiles typically include information about behaviors, e.g. fun loving, analytical, compassionate, fast reader, slow reader, etc. As used in this application, the term demographic profile will be used to refer to both demographic and psychographic profiles.

### C. VoIP Configuration

Figure 3 illustrates a system including an embodiment of the invention for supporting voice activated services over a telephone interface. The top portion of the figure shows the functional components labeled according to the labeling of Figure 1, e.g. telephone 100, telephone network 104, telephone gateway 107, and phone application platform 110. The bottom portion shows the new implementation approach that is based on a VoIP architecture. The implementation components of the telephone gateway 107 are shown in element 320 while the implementation components for a portion of the phone application platform 110 are shown in element 330.

Unlike in the prior art system, there is a clean separation between the telephone gateway 107 implementation and the phone application platform 110 implementation. This promotes modularity and improves functionality. The telephone gateway 107 is supported by one or more media gateways 302. A media gateway is a term for products such as Cisco AS5300 from Cisco Corporation, San Jose, California, GSX 9000 from Sonus Networks, Inc., Westford, Massachusetts, and MultiVoice MAX TNT from Lucent Technologies, Murray Hill, New Jersey. More generally the media gateway 302 is a device for routing circuit switched telephone network calls to a packet switched network (and vice-versa.) Some media gateways may be capable of handling several thousand calls simultaneously. Further, as appropriate, redundant media gateways can be configured to interoperate appropriately with the telephone network 104.

Importantly, to the left of the media gateway 302 in Figure 3, a telephone call is carried in a circuit switched fashion while on the right it is carried in a packet switched fashion. This avoids the problem of established telecommunication carriers who are

unprepared to provide direct VoIP connections to customers (see, e.g. left side of Figure 2, showing that VoIP carriers start-and-terminate circuit switched calls.) If the telecommunication carrier supports it, the telephone gateway can 107 can also include facilities for directly receiving VoIP calls.

5           Before discussing call completion, consider the implementation of the phone application platform 110. A number of computers, servers 306A-Z, can be provided together with a session initiation protocol (SIP) proxy 304. The servers 306A-Z can be comprised of one or more computers, typically of a server, or rack mount variety. According to one embodiment, a Network Engine server from Network Engines, Inc., Canton, Massachusetts, 10 is used for the servers 306A-Z because it is a compact, 1 rack unit (1U) high, yet powerful computer system.

15           Through the use of one or more (proposed) standard Internet Engineering Task Force (IETF) protocols such as SIP (RFC 2543), the SIP proxy 304 can relay information from the media gateway 302 to the servers 306A-Z about incoming calls and allow them to handle the sessions. The term “proxy” is used to describe the SIP proxy 304; however, such use is not in strict conformance with the definition in RFC 2543. Rather, the SIP proxy 304 may be in the terms of RFC 2543 a “proxy”, a “proxy server”, a “redirect server”, a “server”, and/or some other type of device and/or program for balancing distribution of SIP requests (incoming calls) across the servers 306A-Z.

20           The call handling flow according to the implementation in Figure 3 will now be considered in connection with Figure 4. First, at step 400, a call is received at the phone number of the phone application platform 110. For this example, the phone number will be +1 (800) 555-TELL (555-TELL and 5555-TELL are registered trade marks of Tellme

Networks, Inc.); however, other numbers could be used, e.g. international free phone numbers +800 5555-TELL, country specific numbers, and non-free numbers, e.g. +1 (650) 555-1212. The phone call is received when the circuit switched telephone network 104 carries the signal (via a circuit) to the telephone gateway 107 (and thus the media gateway 302).

5           Next, at step 402, a SIP request is generated (see RFC 2543 generally for format) by the media gateway 302 to the SIP proxy 304. The SIP request can include suitable telephone identifying information, e.g. dialed number, calling party number, ANI, etc. The SIP proxy 304 will then redirect, proxy, forward, and/or otherwise cause the request to be passed to one of the servers 306A-Z for acknowledgement and handling. Criteria for distribution amongst  
10           the servers may include: the telephone identifying information (e.g. some servers are reserved for certain calling (or called) parties); server load (e.g. evenly distribute workload across the different servers relative to their capacity to handle calls); online/offline status of individual servers; network monitoring showing faults with one or more servers; and/or other criteria selected by the operator of the phone application platform 110.

15           For example, according to one embodiment, in order to test a new hardware and/or software configuration of a particular server (e.g. the server 306Z) a predetermined percentage of calls might be routed to that server. Similarly, if a better servers become available and are added to the existing pool, the distribution of calls could be evenly distribute based on weighted capacity. In such a configuration, a server that could handle 100  
20           simultaneous calls versus and earlier server that only handled 50 would be considered equally loaded based on the ratio of number of current calls to capacity, e.g. 5 on the older server, and 10 on the newer server are equivalent:  $5/50 = 1/10 = 10/100$ .

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Note that this sort of flexible load balancing is not readily possible with the prior art configuration of Figure 1 since call handling capacity is a direct function of terminated circuits (e.g. number of PRIs). Thus, the prior art servers 116 cannot as easily take advantage of improvements in processing power without replacing the physical telephony hardware to support higher density circuit termination.

In some embodiments, the functionality of the SIP proxy 304 can be subsumed in whole or in part into the media gateway 302. The ability to do this will depend in large part on the monitoring and routing capabilities of the particular media gateway 302.

Next, at step 404, the SIP request is acknowledge by the selected server 306A-Z. At that point, the data (e.g. voice channel, or stream) flows between the server, the media gateway, and the telephone network 104. The data portion can be sent using one or more standard International Telecommunication Union (ITU) and/or IETF protocols, e.g. RTSP, RTP, Q.931, etc.

In one embodiment, compression of the stream is intentionally disabled between the media gateway 302 and the servers 306A-Z. Typical, VoIP data transmissions use (heavy) compression to reduce bandwidth demands; however, such compression could severely reduce the quality of speech recognition results and thus is not used. While the lack of compression would be undesirable in many other VoIP environments due to high bandwidth consumption for thousands of VoIP streams, the operator of the phone application platform need only provide high bandwidth in between the media gateway 302 and the servers 306 (frequently only a short distance, e.g. within a server room, etc.)

Lastly, at step 406, the servers communicate with the media gateway using SIP requests to control handling of the session (call). Unlike the servers with telephony cards



116A-Z of Figure 1, the servers 306A-Z cannot directly control handling of the circuit switched line. (Recall that in the configuration of Figure 1, one or more circuit switched PRIs terminated at each server with telephony cards 116A-Z and the telephony cards could directly control the circuit, e.g. the call.) Instead, to control call handling features (e.g. request termination of the call) or other special features (e.g., the communication may be to redirect an RTP media stream(s) to accomplish tromboning independent of the server 306A-Z), one or more appropriate messages can be sent according to the SIP protocol.

As an example, if the initial caller to the phone application platform 110 requests an outbound call transfer (e.g. place a call to a third party), one or more SIP requests could be generated by the servers 306A-Z to the media gateway 302 (possibly via the SIP proxy 304) to cause the initiation of the call. For example, to contact a restaurant, the server could request a call placement to the phone number of the restaurant be added to the in progress session between the initial caller and the server. The media gateway 302 and/or the SIP proxy 304 could respond to this request by (ultimately) opening circuit switched connections back over the telephone network 104 to the restaurant. Notice, importantly, that there is no longer a need to reserve circuits on any particular line or interface.

Thus, despite only using the VoIP technologies in the last “100 meters” or so, e.g. within a server room, some significant functionality becomes available that also serves to increase flexibility: easier multi-party features and elimination of reserved circuit capacity. In one embodiment, VoIP can be viewed as providing an abstraction layer to the circuit switched network.

In United States Patent Application 09/426,102, entitled “Method and Apparatus for Content Personalization Over a Telephone Interface”, having inventors Hadi Partovi, et. al., a

functional decomposition of a phone application platform substantially similar to the instant phone application platform 110 is presented. According to that functional model, the servers 306A-Z could provide a subset of the identified functions such as call management, execution, evaluation, data connectivity, and/or streaming. The specific functions provided by the servers 306A-Z will depend on their processing power, capacity, and number. For example, in the prior art arrangement of Figure 1, the servers with telephone cards 116A-Z could only handle a specific number of calls as determined by the physical connectivity of the boxes to the telephone network. In contrast, the number of calls handled by the servers 306A-Z can be adjusted for their processing power, current load, an operator-imposed cap (e.g. no more than  $N$  calls per server with an eye towards a specific quality of service), and/or other criteria. In a preferred embodiment, servers 306A-Z each include a VoiceXML interpreter so that they may be programmed to perform a wide variety of call handling tasks. VoiceXML (or Voice eXtensible Markup Language) is the name of a programming language promulgated by the VoiceXML Forum (an industry forum founded by AT&T, IBM, Lucent and Motorola) for designing and creating audio dialogs that include, inter alia, synthesized speech, voice-recognition, streaming audio and DTMF input.

In one embodiment, the SIP proxy 304 distributes load evenly across the servers 306A-Z and monitors their load through one or more communication channels, e.g. periodic queries to the servers 306A-Z. If the number of calls at a given time exceeds a predetermined threshold, one or more messages may be generated by the SIP proxy 304 (or one of the servers 306A-Z) to instruct the media gateway 302. The message might indicate that no more calls should be taken, e.g. busy the line. Or more specifically, when the servers 306A-Z are handling calls from multiple legal entities, the message might more specifically stop the

acceptance of calls for one legal entity (e.g. by dialed phone number) in accordance with one or more limits (e.g. contracts, fairness (everyone has to have capacity for at least *X* calls), etc.). Responsive to such a message, the media gateway 302 may send one or more messages over the PSTN, e.g. using signaling system 7 (SS7) or such other protocols as may be available. The result, calls to a first number, +1 (800) 555-TELL might be able to proceed while calls to +1 (800) PAR-TNER might receive a busy signal or some other network status message, e.g. "All circuits are busy".

The above type of differentiated and targeted service control is not readily possible in the circuit switched configuration of Figure 1 because of the lack of cross-communication between the servers with telephony cards 116A-Z and the lack of a centralized communication with the switching systems of the telephone network 104.

In the case where the connectivity between the media gateway 302 and the telephone network 104 does not easily support low level communication to allow the media gateway 302 to control the behavior of the telephone network 104, the media gateway 302 can send SIP requests to a special destination, e.g. an extra server of substantially the same type as the servers 306A-Z to cause a message to be played and then terminate the call. In other embodiments, if the media gateway 302 supports the capability, it can generate and play back a busy message for specific numbers at specific times.

Returning to the prior art arrangement of Figure 1, the telephony cards in the servers 116A-Z typically included digital signal processors (DSPs) for processing the audio and assisting in a variety of ways with voice recognition. For example, the Nuance speech recognition system from Nuance Corporation, Mountain View, California, comes configured to support Dialogic telephony cards with certain features occurring on the card. Similarly, the

audio providers (the software for working with the hardware cards to get/send audio) are configured in many instances to make use of the DSPs on the telephony cards. Those software audio providers accordingly have to be re-written according to the present invention to rely on the processor(s) in the server 306A-Z to send and get requests to/from network packets in a suitable VoIP data transmission format (as negotiated using SIP) and/or to generate/manage additional SIP requests. Specific functions include decoding received network packets containing audio data and preparing them for voice recognition processing, including: echo cancellation, noise filtering, end pointing, and speech recognition. Other functions of the audio provider include taking sounds such as streaming audio and other audio files and converting them into network packets according to the data transmission format.

Additional protocols may be used in conjunction with SIP to further support the VoIP arrangement disclosed. For example, the PINT protocol of RFC 2848 may be used to communicate out from the phone application platform 110 to the circuit switched telephone network 104 for one or more purposes, e.g. for outbound call notification.

#### D. Automated Configuration Management

According to some embodiments of the invention, one or more additional computers can be coupled in communication with the phone application platform 110, e.g. configuration server 310 (shown as part of phone application platform 110). The configuration server 310 is designed to allow easy setup of the servers 306A-Z, the SIP proxy 304, and/or other computers providing the phone application platform. Configuration server 310 typically includes host descriptions (i.e., the software configuration that is mapped to each respective server 306A-Z) and a service map (i.e., information that identifies how the set of servers 306A-Z are assigned in order to maintain an operational phone platform 110).







## CLAIMS

What is claimed is:

1 1. A computerized, Internet protocol (IP) based voice response system for servicing a  
2 call received over a public switched telephone network (PSTN) comprising:  
3 a PSTN-to-IP gateway for connecting to the public switched telephone network;  
4 an IP network medium connected to the gateway; and  
5 a network server in communication with the network medium for automated  
6 interaction with a user participating in the call.

1 2. The voice response system of claim 1, wherein the network server comprises a host  
2 computer for executing a voice application program, a grammar database corresponding to a  
3 set of recognizable utterances, and a voice recognition engine for comparing a speech input  
4 from the user against the set of recognizable utterances.

1 3. The voice response system of claim 2, wherein the voice application program is a  
2 VoiceXML program.

1 4. The voice response system of claim 2, further comprising a firewall in communication  
2 with the network medium for connecting the network server to an external IP network through  
3 the firewall, wherein the voice application program is remotely hosted on the external IP  
4 network.

1 5. The voice response system of claim 2, wherein the network server performs call  
2 control communications with the PSTN-to-IP gateway in accordance with a SIP protocol.





performing speech recognition on the call using audio data extracted from the VoIP  
format by the computer system.

10. The method of claim 9, wherein the conversion device and the computer system are  
located in close physical proximity.

11. The method of claim 9, wherein there is a second computer system physically distant  
from the conversion device and wherein the forwarding goes to the second computer system  
responsive to a failure of the first computer system.

12. The method of claim 9, further comprising prior to the forwarding sending a message  
from the conversion device to a second computer system, the second computer system  
selecting the computer system from a plurality of computer systems to receive the call.

13. The method of claim 12, wherein the selecting according to a predetermined set of  
criteria to balance number of calls being handled by each of the plurality of computer  
systems.

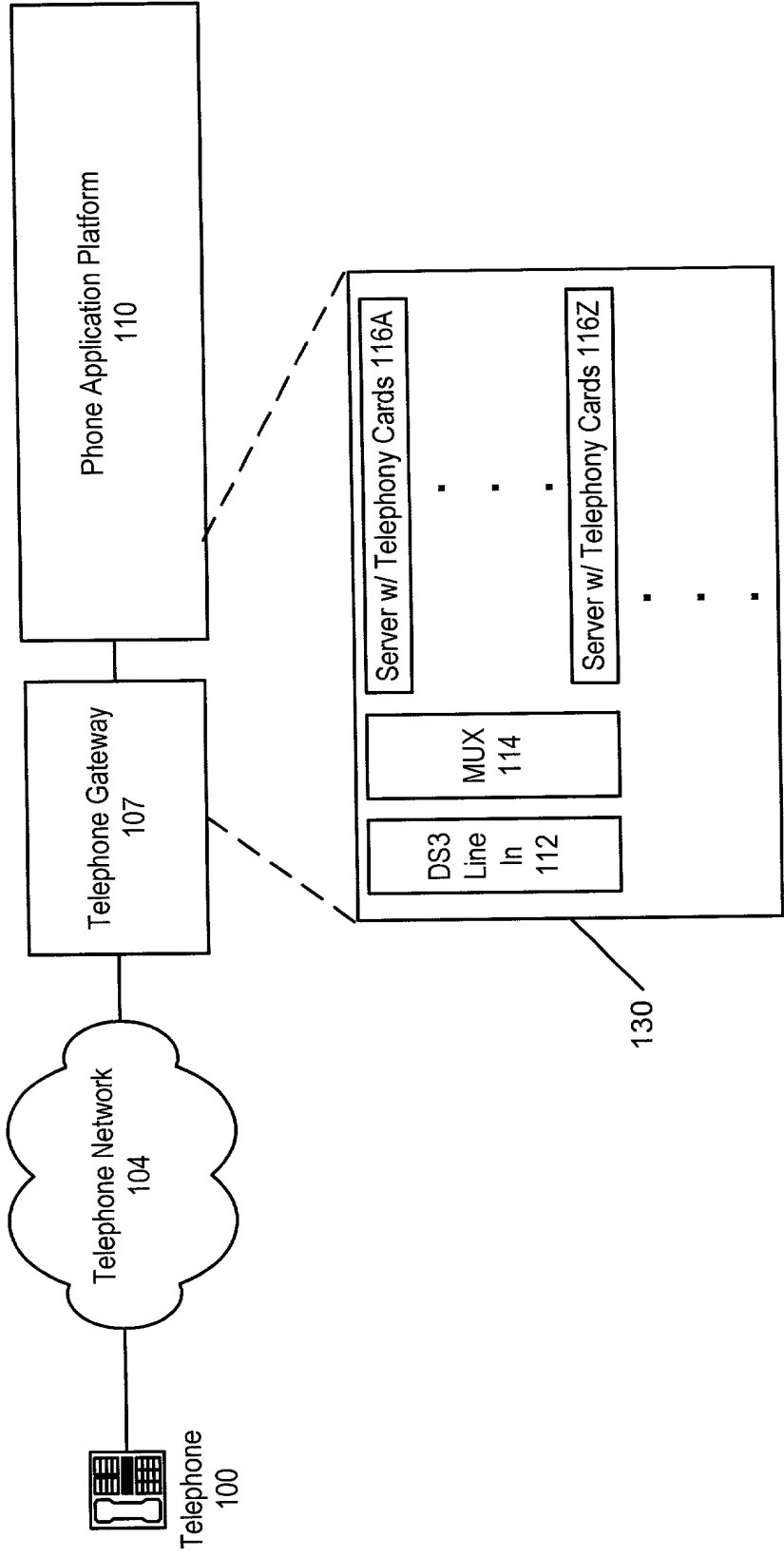
14. The method of claim 12, wherein the message comprises a session initiation protocol  
(SIP) request.

15. The method of claim 12, wherein the forwarding occurs responsive to a SIP  
acknowledgement from the computer system.

## ABSTRACT

An approach to abstracting the circuit switched nature of the public switched telephone network (PSTN) by using VoIP to provide voice actuated services is disclosed. By carrying a telephone call using VoIP technology for a short distance (frequently within a server room) significant benefits to call handling and capacity management can be obtained. Specifically, a PSTN-to-IP gateway is used to receive (and place) calls over the PSTN and route those calls internally to servers over an IP network in a packet switched format. A number of computer systems can receive and handle the calls in the IP format, including: translating the packets into an audio format suitable for speech recognition and creating suitable packets from computer sound files for transmission back over the PSTN.

**Fig 1**  
**(Prior Art)**



**Fig 2**  
(Prior Art)

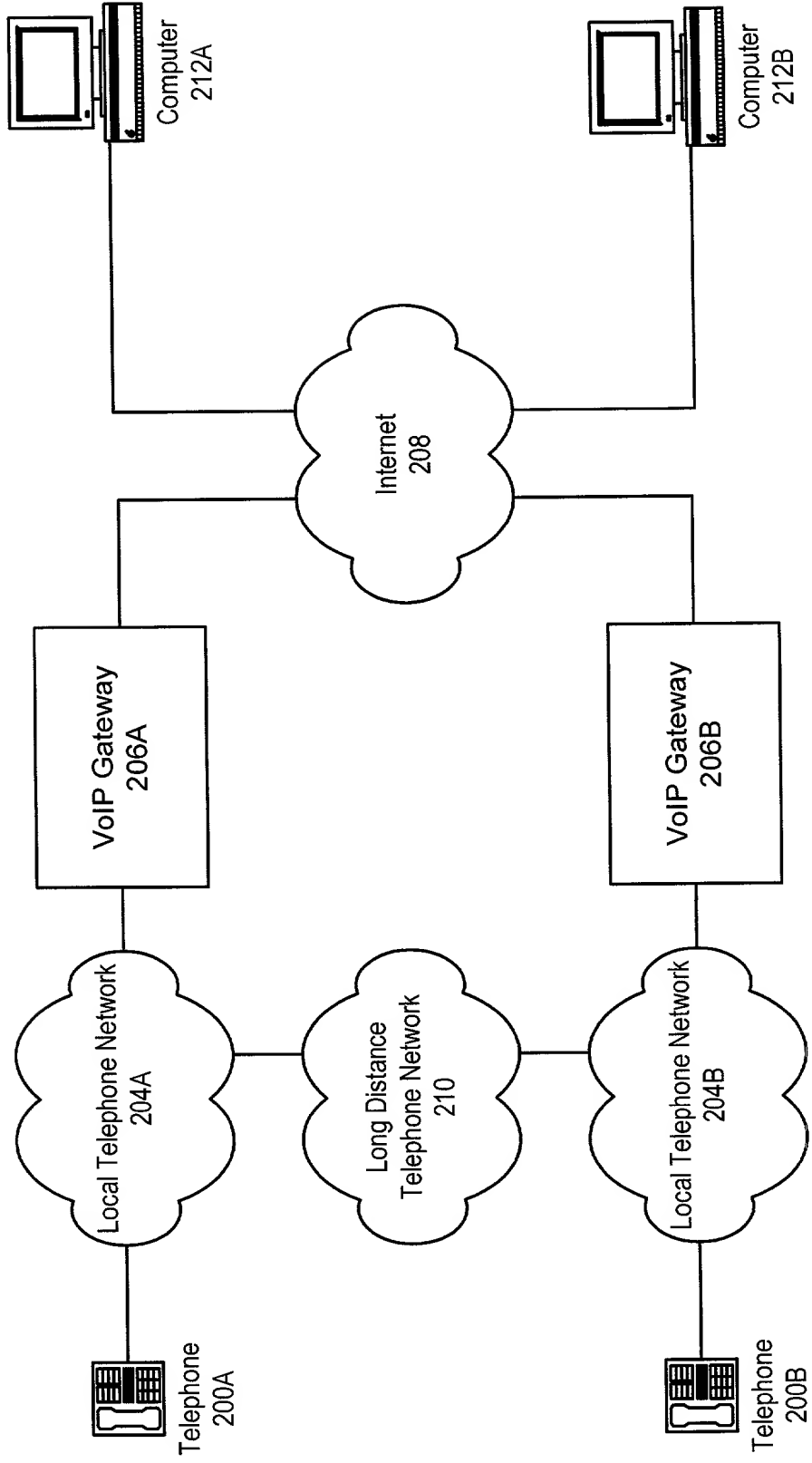


Fig 3

